Docket No. 2003-003R1 **PATENT** 

#### CERTIFICATE OF MAILING

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22313-1450 on

Annie Wong

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Carlson et al.

Serial No.:

10/790,956

Group Art Unit: 1639

Filed:

March 1, 2004

Examiner: Unknown

For:

**Evaluating Effects of Exposure** 

Conditions on Drug Samples Over Time

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL LETTER

Sir:

## Transmitted herewith (check all that apply):

[] Preliminary Amendment	[X] Information Disclosure Statement
[] Response/Amendment	[] Petition Under 37 CFR 1.97(d)(2)
[] Response/Amendment After Final	[] Formal Drawings
[] Supplemental Amendment	[] Declaration Under 37 CFR 1.131
[] Affidavits/Declarations	[] Declaration Under 37 CFR 1.132
[ ] Declaration and Power of Attorney	[] Terminal Disclaimer
[] Supplemental Declaration	[] Small Entity Statement
Associate Power of Attorney	[] Request for Refund
[] Change of Correspondence Address	[] Appeal
[] Associate Power of Attorney	[ ] Petition
[] Response to Missing Parts	[] Status Letter
to be filed in the above-identified patent app	lication.

[X] No fee is required.

[X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 50-0496.

A duplicate copy of this Transmittal Letter is transmitted herewith.

Date

Symyx Technologies, Inc. 3100 Central Expressway Santa Clara, California 95051

Tel.: (408) 720-2598 Fax: (408) 773-4029 Respectfully submitted,

James H. Ackley

Reg. No. 45,695
Attorney for Applicant(s)

Docket No. 2003-003R1 **PATENT** 

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lify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450 on 8/13/04

BY:

Annie Wong

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Carlson et al.

Confirmation No. 2161

Serial No.:

10/790,956

Group Art Unit:

1639

Filed:

March 1, 2004

Examiner: Unknown

For:

**Evaluating Effects of Exposure** 

Conditions on Drug Samples Over Time

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications and other information of which they are aware, which they believe an examiner may consider to be material to the patentability of the claims of this application, and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may contain "material" information pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is either "material" or "prior art" to the invention disclosed and claimed in the above-referenced application unless specifically designated as such. Applicants specifically reserve the right, where appropriate, to avoid or antedate any such reference by the appropriate arguments or showings under 37 C.F.R. § 1.131 and § 1.608, or any other appropriate means.

A completed PTO Form 1449 listing each reference is submitted herewith, and it is respectfully requested that an Examiner initialed copy of the PTO Form 1449 be returned to the undersigned. Copies of each reference listed on the PTO Form 1449 are enclosed except for copies of the U.S. patent documents which are not supplied in accordance with the OG notice of August 5, 2003, partially waiving the requirements of 37 C.F.R. §1.98(a)(2)(i).

This Information Disclosure Statement is being filed within three months of the filing or entry of the national stage of this application, or before the mailing of a first Office Action on the merits, whichever is later. No fee is required under 37 C.F.R. § 1.97(b). However, in the event that more than 90 days from filing have elapsed and a first Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the fee required under 37 C.F.R. 1.17(p) to Deposit Account Number 50-0496.

The Examiner is hereby requested to consider these references and other information and make them of record in the above-referenced application. Applicants respectfully submit that the claims of this application are patentable over the above-cited references and information. Examination and allowance of this application at an early date are requested.

By

Respectfully submitted

James H. Ackley, Registration No. 45,695

Date:

Symyx Technologies, Inc. 3100 Central Expressway Santa Clara, CA 95051 Tel: (408) 720-2598

Fax: (408) 773-4029

ATTORNEY'S DOCKET NO .: **APPLICATION NO.:** INFORMATION DISCLOSURE 2003-003R 10/790,956 CITATION APPLICANT: PTO-1449 Carlson et al. GROUP: FILING DATE: CONFIRMATION No.: March 1, 2004 1639 2161 **US PATENT DOCUMENTS EXAMINER'S** FILING PATENT NO. DATE NAME **CLASS SUBCLASS INITIALS** DATE 9/07/99 6/04/97 5,948,438 Staniforth et al. 424 464 12/21/99 6.004.617 Schultz et al. 427 8 6/07/95 6,157,449 12/05/00 Hajduk 356 367 10/19/98 11/06/01 Thakker et al. 435 25 12/04/98 6,312,917 4/02/02 6,365,129 Fogarty 424 9.2 12/27/99 4/16/02 Hajduk et al. 6,371,640 378 208 10/03/00 6,423,351 7/23/02 Wang 424 648 3/09/01 6,455,316 9/24/02 Turner et al. 436 37 4/13/00 6,461,807 10/08/02 Friend et al. 435 11/10/00 6,471,994 10/29/02 Staniforth et al. 424 489 8/27/99 1/14/03 717 103 6,507,945 Rust et al. 5/05/99 422 198 11/19/98 6,528,026 3/04/03 Hajduk et al. 707 104 1/05/01 12/02/03 Dorsett, Jr. 6,658,429 702 130 4/29/02 6,691,058 2/10/04 Blakley 2001/0034067 436 180 1/26/01 10/25/01 Dales et al. 2003-0116497 6/26/03 Carlson et al. 210 435 5/24/02 2003-0118078 6/26/03 Carlson et al. 374 160 5/24/02 2003-0119060 6/26/03 Desrosiers et al. 435 7.1 5/24/02 2003-0124028 7/03/03 422 68.1 5/24/02 Carlson et al. **FOREIGN DOCUMENTS EXAMINER'S** SUB TRANSLATION Yes No **CLASS INITIALS** PATENT NO. DATE COUNTRY **CLASS** EP 1080435 17/50 2/27/02 EP G06F WO 96/11878 4/25/96 **PCT C01G** 51/04 WO 98/40159 9/17/98 PCT **B01J** 19/00 WO 99/59716 11/25/99 **PCT B01J** 19/00 Х WO 00/23921 4/27/00 **PCT** G06F 17/50 WO 00/36405 6/22/00 PCT **G01N** 23/20

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XAMINER:				DATE CONSIDE	RED:					

Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.